

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

| | |
|------------------------|--|
| Attorney Docket No. | 674543-2001.5 |
| First Inventor | Walker |
| Title | REGULATION OF INTRACELLULAR GLUCOCORTICOID CONCENTRATION |
| Express Mail Label No. | EV 073646210 US |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Pages 1-27) [Total Pages 27]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - 13 Claims (pages 26-27)
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. ☒ Oath or Declaration [Total Pages 7]
- a. ☐ Newly executed (original or copy)
- ☒ Copy from a prior application (37 CFR 1.63(d))
- ☒ Accompanied by Associate Power of Attorney
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CFR)
- b. Specification sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement
- ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
Applicant must attach from PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

| | | | |
|---------------------------------------|--|---|--------------------------------------|
| <input type="checkbox"/> Continuation | <input checked="" type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application No.: 09/029,535 |
| Prior application information: | | Examiner: B. Badio | Group/Art Unit: 1616 |

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **20999** (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name **Thomas J. Kowalski**

| | | | |
|-------------------|---------------------------|-----------------------------------|-------------------|
| Name (Print/Type) | Thomas J. Kowalski | Registration No. (Attorney/Agent) | 32,147 |
| Signature | <i>Thomas J. Kowalski</i> | Date | February 22, 2002 |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 412.00

Complete if Known

Application Number

To Be Assigned

Filing Date

Concurrent Herewith

First Named Inventor

Walker

Examiner Name

To Be Assigned

Group/Art Unit

To Be Assigned

Attorney Docket No.

674543-2001.5

METHOD OF PAYMENT1. ☒

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Name

Frommer Lawrence & Haug LLP

Deposit
Account
Number

50-0320

☒ Charge any Additional Fee Required
Under 37 CFR 1.16 and 1.17☐ Charge the Issue Fee Set in 37 CFR
1.18 at the Mailing of the Notice of
Allowance2. ☒ Payment Enclosed☒ Check☐ Money Order☐ Other**FEE CALCULATION****4. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | 370.00 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | 370.00 |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claim | Fee from below | Fee Paid |
|--------------------|-------------|-------------------|----------|
| 8 | -20** = 0 | X | 0 |
| Ind. Claims | -3** = 1 | X | 42 |
| Multiple Dependent | | X | 0 |

** or number previously paid, if greater, for reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |
| 107 | 510 | 207 | 255 | Plant filing fee |
| SUBTOTAL (2) | | | | (\$42) |

FEE CALCULATION**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or Cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request of reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use Proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive- unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional Applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | | | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) | | | | | (\$0) |

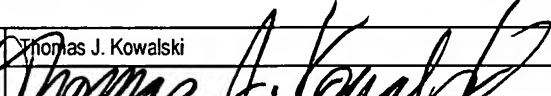
* Reduced by Basic Filing Fee

SUBMITTED BY

Typed or Printed Name

Thomas J. Kowalski

Signature



Date

2/22/02

Complete (if applicable)

Reg. Number

32,147

Deposit Account

50-0320

WARNING: Information on this form may become public. credit card information should not be included on this form.**Provide credit card information and authorization on PTO-2038.**

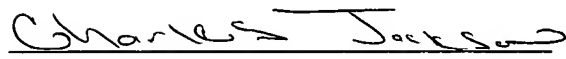
CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION

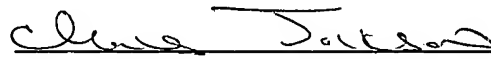
Attorney Docket No. : 674543-2001.5
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GLUCOCORTICOID CONCENTRATIONS**
Filed : February 22, 2002
Applicant : WALKER et al.
Mailed : Via Express Mail Label # EV 073646210 US

I hereby certify that the following items are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Commissioner for Patents
Washington, D.C. 20231
Box Application

Enclosed: Utility Application Transmittal
Fee Transmittal
Application for Patent
(27 total pages, 25 pages of specification numbered 1-25, 2 pages, p. 26-
27, of claims numbered 1-13)
Drawings (3 pages, Figures 1-6)
Declaration for Patent Application and Power of Attorney
Associate Power of Attorney
Preliminary Amendment
Check Number 020018 in the amount of \$412.00
Return Receipt Postcard


Typed/printed name of person mailing paper or fee


Signature